

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | |
|-----------------------|---|----------------------------|
| In re Application of: |) | Examiner: Not Yet Assigned |
| J.J. Wolfgang et al. |) | |
| Serial No.: |) | |
| Not Yet Assigned |) | |
| Filed: |) | Art Unit: Not Yet Assigned |
| February 25, 2004 |) | |
| For: |) | |
| METHOD, SYSTEM, AND |) | |
| PROGRAM FOR A SYSTEM |) | |
| ARCHITECTURE FOR AN |) | |
| ARBITRARY NUMBER OF |) | |
| BACKUP COMPONENTS |) | |

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT

Dear Sirs:

In accordance with 37 CFR §§ 1.56, 1.97, and 1.98, enclosed please find Form PTO-1449 listing the attached references which might be deemed material to the examination of the above-identified application.

A. Non-English Language References

- ☐ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

- B. ☒ The information disclosure statement submitted herewith is being filed
- 1) within three months of the filing date of a national application other than a continued prosecution application under 1.53(d);
 - 2) within three months of the date of entry into the national stage as set forth in 1.491 in an international application;
 - 3) before the mailing date of a first Office Action on the merits; or
 - 4) before the mailing date of a first Office Action after filing of a Request for Continued Examination under 1.114.
- C. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph B, but before the mailing date of a final action under 1.113, a Notice of Allowance under 1.311, or an action otherwise closing prosecution, and is accompanied by:
- 1) a Statement under 1.97(e); or
 - 2) a fee of \$180 as set forth in 1.17(p).
- D. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in paragraph C, but before payment of the issue fee, and is accompanied by:
- 1) a Statement under 1.97(e); and
 - 2) a fee of \$180 as set forth in 1.17(p).

STATEMENT UNDER 1.97(e)

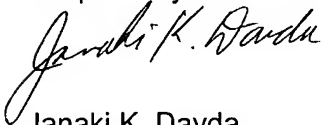
I, the person signing below, certify

- ☐ that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).
- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign

application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

If required under paragraph C or D *supra*, enclosed is Applicant's check in the amount of \$180 as set forth in 1.17(p). Please charge any insufficiency or credit any overpayment to Deposit Account No. 09-0449. A duplicate of this letter is enclosed for fee processing.

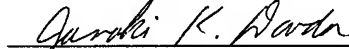
Respectfully submitted,



Janaki K. Davda
Registration No. 40,684
KONRAD RAYNES & VICTOR, LLP
315 S. Beverly Drive, Suite 210
Beverly Hills, CA 90212
(310) 556-7983
(310) 556-7984 (fax)

Dated: February 25, 2004

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to Mail S top Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date listed below. Exp. Mail # EV326952568US


Janaki K. Davda

2/25/04
(Date)

| | | | | | |
|--|---|----|---|--------------------------|----------------------|
| Form 1449/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | <i>Complete if Known</i> | |
| | | | | Application Number | Not Yet Assigned |
| | | | | Filing Date | February 25, 2004 |
| | | | | First Named Inventor | J.J. Wolfgang et al. |
| | | | | Art Unit | Not Yet Assigned |
| | | | | Examiner Name | Not Yet Assigned |
| Page | 1 | of | 1 | Attorney Docket Number | TUC920030107US1 |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-------------|------------------------------|--------------------------------|--|---|
| Examiner Initials | Cite No. | Document Number | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
| | | Number- Kind Code (if known) | | | |
| | | US-5734818 | 03-31-1998 | Kern et al. | |
| | | US-6003044 | 12-14-1999 | Pongracz et al. | |
| | | US-6341,340 B1 | 01-22-2002 | Tsukerman et al. | |
| | | US-6463501 B1 | 10-08-2002 | Kern et al. | |
| | | US-2003/0088746 A1 | 05-08-2003 | Hino et al. | |
| | | US- | | | |
| | | US- | | | |
| | | US- | | | |

| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|-------------|---------------------------------|--------------------------------|---|--|---|
| Examiner Initials | Cite No. | Foreign Patent Document | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | T |
| | | Country Code- Number- Kind Code | | | | |
| | | | | | | |
| | | | | | | |

| OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS | | | |
|---|-------------|--|---|
| Examiner Initials | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | T |
| | | Bart Jacob, "Grid Computing: What are the Key Components?", IBM Corp., (online) [retrieved on 11/17/03] www106.ibm.com/developerworks/grid/library/gr-overview/ , pages 1-6 | |
| | | IBM Corp., "Events - Grid Computing: Advancing e-business into the future", (online) [retrieved on 11/17/03] www1.ibm.com/servers/events/grid.html , page 1 | |
| | | | |

| | | | |
|---|--|--------------------|--|
| Examiner Signature | | Date Considered | |
| EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant. | | | |